FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL							
	OMB Number: 3235-0287 Estimated average burden							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

	tion 1(b).	iliue. See	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940						934	hours per response: 0.5						
Name and Address of Reporting Person*     Mills Scott					2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG								Relationshi Check all app X Direc	olicable)	ng Person(s) to	Issuer Owner
(Last)	(Last) (First) (Middle) 711 HIGH STREET					3. Date of Earliest Transaction (Month/Day/Year) 05/18/2021						1	Offic below	er (give title w)	Other below	(specify /)
(Street)  DES MC	DINES IA	. 5	0392		4. If Ar	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(S	tate) (2	Zip)										Pers	on		-
		Table	I - Non	า-Deriva	tive Se	ecur	ities Acq	uired,	Dis	osed of	, or Bei	nefici	ially Own	ed		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				Execution Date,				Disposed (	rities Acquired (A ed Of (D) (Instr. 3,		and Securi Benefi Owned	cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D)	Price		ted action(s) 3 and 4)		(Instr. 4)
Common Stock 05/18				05/18/	2021			A		2,512	A	\$0	\$0 <sup>(1)</sup> 19,094		D	
		Ta					ies Acqui varrants,							d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	on Date Execution Date, Transaction of Code (Instr. Derivative (Month/Day/Year) (Month/Day/Year) 8) Securitie		of	6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Underlying Distriction			of s	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall	Ownershi Form:	Beneficial Ownership				

## **Explanation of Responses:**

Security

1. Grant of restricted stock units.

## Remarks:

Alex P. Montz, by Power of <u>Attorney</u>

Security (Instr. 3 and 4)

Title

Expiration

Date

Amount or Number

Shares

05/20/2021

Following Reported

Transaction(s) (Instr. 4)

(I) (Instr. 4)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) or Disposed

of (D) (Instr. 3, 4 and 5)

(A) (D) Date

Exercisable