FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | OMB APPROVAL | | | | | | | | | | | |
|-----|--------------|-----------|--|--|--|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | | | | |
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Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>GELATT DANIEL</u> | | | | | PR | 2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG] | | | | | | | | | theck all ap | | ng Person(s) to Is 10% O | | | |
|---|---|----|------------------|------------------------------|-------|---|-----------------------|--|---|-----|---|----------------------|---|-------------------------|---|---|--|---|------------|--|
| (Last) (First) (Middle) 711 HIGH STREET | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/31/2017 | | | | | | | | | | below) | | below) | | |
| (Street) DES MOINES IA 50392 (City) (State) (Zip) | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | ne) <mark>X</mark> For For | vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | | | | Execution Date, | | | 3. Transaction Code (Instr. 8) 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | | | (A) or 3, 4 aı | nd Secu Bene Owne | ficially ed Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | Code | v | Amount (A) or (D) | | A) or O) | Price | Trans | Reported Transaction(s) (Instr. 3 and 4) | | | (111501.4) | |
| Common Stock 03/31 | | | | | /2017 | /2017 | | A | | 312 | | A \$0 ⁽¹⁾ | | (1) | 106,574 | | | | | |
| | | Та | ble II - D (e | | | | | | | | sed of, onvertib | | | | y Owned | i | | | | |
| 1. Title of Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Transa Code (8) | | 5. Nu of Deriv Secu Acqu (A) o Disp of (D) (Instrand! | Expiratio (Month/D | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Exercisable Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Numbe of Title Shares | | 8. Price of Derivative Security (Instr. 5) | | Own Forn Dire or In (I) (II | ership 1: ct (D) direct 1str. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |

Explanation of Responses:

1. Grant of restricted stock units.

Remarks:

Patrick A. Kirchner, by Power of Attorney

04/04/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.