FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  LAWLER JULIA M						2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG ]										all app Direc	olicable) ctor er (give title	1 C	erson(s) to Issuer  10% Owner  Other (specify below)	
(Last) (First) (Middle) 711 HIGH STREET						3. Date of Earliest Transaction (Month/Day/Year) 03/01/2019											VP & Chie		,	
(Street) DES MOINES IA 50392					4. If	Line)								Forn Forn	al or Joint/Group Filing (Check Applicable orm filed by One Reporting Person orm filed by More than One Reporting erson					
(City) (State) (Zip)						. 5.55.11														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						ır) E	xecution any	A. Deemed kecution Date, any lonth/Day/Year)		3. Transaction Code (Instr. 8)  4. Securi Disposed 5)		ties Acquired (A) I Of (D) (Instr. 3, 4			and Securitie Beneficia		ities icially d Following	6. Owners Form: Dire (D) or Indi (I) (Instr. 4	ct ect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	Trans		action(s) 3 and 4)			(111341. 4)
Common Stock 03/01/					/2019	2019			S <sup>(1)</sup>		1,000	1,000 A		\$52	2.38	59,920 <sup>(2)</sup>		D		
Common Stock																1,277		I		By 401(k) Plan
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution rity or Exercise (Month/Day/Year) if any			Date, Transaction Code (Inst			n of I		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		ount	t		9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form: Direct or Indi (I) (Ins	D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	of							

## **Explanation of Responses:**

- 1. Pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 2, 2018 and modified on November 7, 2018.
- 2. Includes 817 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

## Remarks:

Patrick A. Kirchner, by Power 03/04/2019 of Attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.