Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL

	OMB APPROVAL									
	OMB Number:	3235-0287								
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  BEAMS MALIZ E					2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC [ PFG ]								(Che	elationship eck all app X Direc	,	ng Per	son(s) to Is			
(Last) (First) (Middle) 711 HIGH STREET				3. Date of Earliest Transaction (Month/Day/Year) 03/05/2021									Office below	cer (give title ow)		Other ( below)	specify			
(Street) DES MC			0392 Zip)		4. If <i>F</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	e) X Form Form	I				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3) 2. Trai				2. Transac	action 2A. Dec Execut Day/Year) if any		Deemed ecution Date,		3. 4. Securiti Transaction Code (Instr. 8) 5.		es Acq	uired	(A) or	5. Amo Securit	unt of ies cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) (D)	or	Price	Transa	ction(s) 3 and 4)			()	
Common Stock				03/05/	5/2021				A		648	A \$0 <sup>(1</sup>		\$0 <sup>(1)</sup>	648			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Dee Execution if any (Month/		Transaction Code (Instr. 8)  Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year) Si U D D Si			Amou Secu Unde Deriv Secu	7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		3. Price of Derivative Security Instr. 5)		Ow For Dire or I (I) (	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
							(A)	(D)	Date Exercisa	able	Expiration Date	Title	Num	.						

## **Explanation of Responses:**

1. Grant of restricted stock units.

## Remarks:

Alex P. Montz, by Power of <u>Attorney</u>

03/10/2021

**OWNERSHIP** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.