FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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STATEMENT C	F CHANGES I	N BENEFICIAL	<b>OWNERSHIP</b>

OMB Number:	3235-0287
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OMB APPROVAL

0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>CARTER MILLER JOYCELYN</u>				PR	2. Issuer Name <b>and</b> Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC [ PFG ]										onship of Reportii all applicable) Director		ng Person(s) to Is				
(Last) (First) (Middle) 711 HIGH STREET						3. Date of Earliest Transaction (Month/Day/Year) 03/31/2017										Officer (give title below)		Other (spec below)			
(Street) DES MO		5 IA 50392 (State) (Zip)				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Indivi ne) X	Form filed by One Reporting Person Form filed by More than One Reporting Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3) 2. Trans					2. Trans Date			ned n Date,	3. 4. Securities Ac Transaction Code (Instr. 5)			cquired	(A) or	or 5. Am 4 and Secur Benef Owne		ount of ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
											v	Amount (A) or (D)		Price	. 1	Reported Transaction(s) (Instr. 3 and 4)			(msu. 4)		
Common Stock 03				03/31	/2017			A		291 A		\$0	) <sup>(1)</sup> 41,235		D						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  Security  3. Transaction Date (Month/Day/Year) if any (Month/Day/Year)			Date,		ransaction of Code (Instr. Derivati		ative rities ired sed	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		ount	8. Pri Deriv Secui (Instr	ative rity	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owne Form: Direct or Ind (I) (Ins	ership : et (D) direct	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	of Sha	res						

## Explanation of Responses:

Grant of restricted stock units.

## Remarks:

Patrick A. Kirchner, by Power of Attorney

04/04/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.