FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL        |          |  |  |  |  |  |  |  |
|---------------------|----------|--|--|--|--|--|--|--|
| OMB Number:         | 3235-028 |  |  |  |  |  |  |  |
| Estimated average b | ourden   |  |  |  |  |  |  |  |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person $^\star$ $\underline{HELTON~SANDRA~L}$ |  |   |  |         | PR   | 2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG |   |      |                                      |       |  |  |               |         |        | ationship of Reportir<br>(all applicable)<br>Director<br>Officer (give title<br>below) |   | 10% C   |   | Owner  |
|--|--|---|--|---------|--|---|---|------|--------------------------------------|-------|--|--|---------------|---------|--------|--|---|---|---|--|
| (Last) (First) (Middle) 711 HIGH STREET  |  |   |  |         |  | 3. Date of Earliest Transaction (Month/Day/Year) 06/26/2015                   |   |      |                                      |       |  |  |               |         |        |  |   |   |   | (specify   |
| (Street) DES MC  | DINES  | (State)                                       | 50392<br>(Zip)                             |         | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Cline)  X Form filed by One Reporti Form filed by More than O |   |   |      |                                      |       |  |  | ng Pers       | on      |        |  |   |   |   |  |
|  |  | Т   | able I - No                                | n-Deriv | ative  | Se  | curitie   | s Ac | quired                               | , Dis | posed c                                    | f, or  | Ben           | eficia  | ally C | wne  | ed  |   |   |  |
| 1. Title of Security (Instr. 3)  2. Transar Date (Month/Date)                  |  |   |  |         | Day/Year) if   |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      | Transaction Disposed Code (Instr. 5) |       | rities Acquired (A<br>ed Of (D) (Instr. 3, |  |               | 4 and S |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                          |   | ership<br>pirect<br>direct<br>. 4)                        | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|  |  |   |  |         |  |   |   |      | Code                                 | v     | Amount                                     |  | (A) or<br>(D) | Price   | . 17   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)   |   |   |   | (Instr. 4)   |
| Common   | Stock  | ck 06/26/2015 A 224 A \$0 <sup>(1)</sup> 43,0 |  |         |  |   | 3,059   | Γ    | )                                    |       |  |  |               |         |        |  |   |   |   |  |
|  |  |   | Table II -                                 |         |  |   |   | •    |                                      |       | osed of,<br>onvertib                       |  |               |         | y Ow   | ned  |   |   |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                            | 2.<br>Conversi<br>or Exerci<br>Price of<br>Derivativ<br>Security | ise (Month/Day/Year)                          | 3A. Deen<br>Executio<br>if any<br>(Month/D | Date,   | 4.<br>Transactio<br>Code (Insti<br>8)  |   |   |      | 6. Date                              | on Da |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instrand 4) |               | ount    |        |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Ownersi<br>Form:<br>Direct (E<br>or Indire<br>(I) (Instr. | n:<br>ct (D)<br>ndirect                             | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |

## Explanation of Responses:

Grant of restricted stock units.

## Remarks:

<u>Patrick A. Kirchner, by Power of Attorney</u>

06/30/2015

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.