1. Title of Security (Instr. 3)

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

2. Transaction

2A. Deemed

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average	burden						
houre por rosponso:							

7. Nature

6. Ownership

Instruction 1(b).	continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	nours per response: 0.5					
			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person*  Kay Kathleen B			2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC [ PFG ]		ationship of Reporting F k all applicable) Director Officer (give title below)	Person(s) to Issuer  10% Owner  Other (specify below)			
(Last) 711 HIGH STR	(First) EET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/26/2020		Chief Informati	,			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group Fi	ual or Joint/Group Filing (Check Applicable			
DES MOINES	IA	50392		X	Form filed by One Re	eporting Person			
(City)	(State)	(Zip)			Form filed by More the Person	nan One Reporting			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

			(Month/D	ay/Year) if	xecution Date, any Ionth/Day/Year)	Code (		5)			Benefici	ially Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
						Code	v	Amount	(A) or (D)	Price	Transac (Instr. 3	tion(s)		(iiisti. 4)
Common Stock			06/26/	2020		A		208	A	<b>\$0</b> <sup>(1)</sup>	208		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr 8)		6. Date Exercisabl Expiration Date (Month/Day/Year)		ear)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Ownershi Form:	Beneficial Ownership (Instr. 4)

Date

Exercisable

Expiration

Date

Title

## **Explanation of Responses:**

1. Grant of restricted stock units.

## Remarks:

Alex P. Montz, by Power of <u>Attorney</u>

Amount Number

Shares

4. Securities Acquired (A) or

06/30/2020

Transaction(s) (Instr. 4)

\*\* Signature of Reporting Person

Date

5. Amount of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

of (D) (Instr. 3, 4 and 5)

(A) (D)