Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL									
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Friedrich Amy Christine  (Local) (First) (Middle)						PRINCIPAL FINANCIAL GROUP INC [ PFG ]									eck all app Direc	tor er (give title	ng Pei	10% Ov Other (s below)	wner
(Last) (First) (Middle) 711 HIGH STREET						3. Date of Earliest Transaction (Month/Day/Year) 09/25/2020										President - USIS			
(Street) DES MC			0392 Zip)		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									) 【 Form	l or Joint/Group Filing (Check Applicable rm filed by One Reporting Person rm filed by More than One Reporting rson			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)			Date (Month/Day/Year) i		Exe if ar	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Di		Disposed (	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			Benefic Owned	ies cially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
										v	Amount	(A) (D)	or F	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common	Common Stock			09/25/	/25/2020				A		212	A	\	<b>\$0</b> <sup>(1)</sup>	32	32,241 <sup>(2)</sup>		D	
Common Stock														2,628			I	By 401(k) Plan	
		Tal									osed of, o				Owne	d			
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Dispo	r osed ) r. 3, 4	6. Date I Expirati (Month/I	on Dat			int of ities rlying ative ity (In:	S (I	s. Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code V		(A)	(D)			Expiration Date	Title	Amor or Num of Share	ber					

## **Explanation of Responses:**

- 1. Grant of restricted stock units.
- 2. Includes 6,574 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

## Remarks:

Alex P. Montz, by Power of

09/29/2020

**Attorney** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.