FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.	C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LITTLEFIELD CHRISTOPHER J</u>						2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG ]									k all app Direc	licable) tor er (give title	Ü	g Person(s) to Issuer  10% Owner  Other (specify below)	
(Last) 711 HIG	st) (First) (Middle) 1 HIGH STREET					3. Date of Earliest Transaction (Month/Day/Year) 03/25/2022										EVP, General Counsel			
(Street) DES MC	(Street) DES MOINES IA 50392				4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Ind Line) X	Form filed by More than One Reporting				on
(City)	(St	ate) (Z	Zip)												Perso	on			
		Table	I - Nor	1-Deriva	tive S	Secu	rities	Acq	uired,	Dis	osed of	, or E	Benef	iciall	y Own	ed			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		Exe if ar	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr.		4. Securities Acquired (AD Disposed Of (D) (Instr. 35)		, 4 and Secu Bene		ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	Amount	(A) (D)	or Pi	rice	Transa	action(s) 3 and 4)			, , ,			
Common	nmon Stock 03/25				2022			A		69	A		\$ <mark>0</mark> (1)	9,458(2)			D		
Common	Stock	Stock													11 <sup>(3)</sup>			I	By Trust
		Tal									osed of, onvertib				Owne	t			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)				6. Date I Expirati (Month/		and 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amou or Numb of Share	er					

## **Explanation of Responses:**

- 1. Grant of restricted stock units.
- 2. Held in revocable trust.
- 3. Includes 1,426 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

03/29/2022 Alex P. Montz

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.