FORM 4

Check this box if no longer subject

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549
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STATEMENT	OF (	CHANGE	SIN	BENEF	ICIAL	OWNI	ERSH	ΙP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b).

1. Name and Address of Reporting Person*  DAN MICHAEL T						2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG ]								(Ch	eck all app X Direc	ionship of Reportir all applicable) Director		10% O	wner
(Last) (First) (Middle) 711 HIGH STREET						3. Date of Earliest Transaction (Month/Day/Year) 05/19/2020									Office belov	er (give title v)		Other ( below)	specify
(Street) DES MC	DINES I	A	50392		4. If A								Line	e) <mark>X</mark> Form Form	fidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City)	(	-	(Zip)																
		Tabl	e I - No	n-Deriva	ative S	Secu	rities	Acq	uired,	Dis	posed of	, or I	3ene	eficia	lly Own	ed			
Date			2. Transa Date (Month/D	Execution Date,		3. Transaction Code (Instr. 8)  4. Securities Acquired (Disposed Of (D) (Instr. 3)			Benefi	ties cially I Following	Forn (D) o	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
								Code	v	Amount	mount (A) or (D)		Price	Transa	Transaction(s) (Instr. 3 and 4)			(msu. 4)	
Common Stock			05/19/	/2020				A		4,674		A	<b>\$0</b> <sup>(1)</sup>	45	5,695		D		
		Ta						-	-	-	osed of, onvertib				y Owne	d			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  Security  3. Transaction Date (Month/Day/Year)  One Exercise (Month/Day/Year)  One Exercise (Month/Day/Year)  One Exercise (Month/Day/Year)  One Exercise (Month/Day/Year)		ion Date,	Code (8)	Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amou or Numb of Title Share		nstr.	B. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)				

## **Explanation of Responses:**

1. Grant of restricted stock units.

## Remarks:

Alex P. Montz, by Power of <u>Attorney</u>

05/21/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.