SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

1. Title of Security	r (Instr. 3)	Date	ansaction ith/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial
		Table I - Non-De	erivative S	Securities Acq	uired, Dis	posed of, or Benef	icially	Owned		
(City)	(State)	(Zip)	_					Form filed by M Person	ore than One Re	porting
(Street) DES MOINES	IA	50392	4. If <i>F</i>	Amendment, Date o	f Original Filed	d (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Grou Form filed by Ou		
(Last) 711 HIGH STR	(First) EET	(Middle)		te of Earliest Trans 5/2021	action (Month	/Day/Year)		Retire	d Officer	,
1. Name and Address of Reporting Person* Dunbar Timothy Mark				uer Name and Tick NCIPAL FIN]	0	Symbol J GROUP INC [ationship of Report < all applicable) Director Officer (give title below)	10% (Owner (specify
Instruction 1(b).				nt to Section 16(a) ction 30(h) of the In		ies Exchange Act of 1934 mpany Act of 1940		Luon	s per response:	0.5

	(Month/Day/Year)	(Month/Day/Year)						Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	02/25/2021		S		10,000	D	\$60.4 ⁽¹⁾	58,584 ⁽²⁾	D	
Common Stock								114	Ι	By Spouse
						_				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

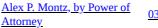
				-			-	•		-					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$60.24 to \$60.49, inclusive. The reporting person undertakes to provide Principal Financial Group, Inc., any security holder of Principal Financial Group, Inc., or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

2. Includes 3,678 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

Remarks:



03/01/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See