FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

gton,	D.C.	20549			

washington, D.C. 20049	OMB APPE	ROVAL		
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:	3235-0287		
	Estimated average burden			

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0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Pickerell Blair</u>				2. Issuer Name and Ticker or Trading Symbol PRINCIPAL FINANCIAL GROUP INC PFG ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
											X Dire		ctor		10% Ov	vner			
													er (give title		Other (s	specify			
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year)								belov	1)		below)				
711 HIGH STREET					09/25/2020														
(0)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)								
(Street) DES MOINES IA 50392													-,	X Form filed by One Reporting Person				on	
													Form filed by More than One Reporting Person						
(City)	(5	State) (2	Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				Execution Date		ate,	Transaction Disposed (Code (Instr. 5)		es Acquired (A) Of (D) (Instr. 3, 4			5. Amo Securit Benefic Owned Report	ies cially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) (D)	or P	rice	Transa	ction(s) 3 and 4)			(111501. 4)
Common Stock 09/25/				09/25/2	/2020				A		275	A		\$ <mark>0</mark>	19,237			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative   Conversion   Date   Execution Date   Conversion   Content   Execution Date   Content   Content		n Date,		Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		De Se (Ir	Price of erivative ecurity 1str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	e (	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
													Amou or	nt					

Date Exercisable

Expiration Date

**Explanation of Responses:** 

Remarks:

Alex P. Montz, by Power of <u>Attorney</u>

of Shares

Title

09/29/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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